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|  | **DAN BRENNAN**   * Process re‑engineering * System Design and Implementation * Navigating Legacy Environments * Capital Markets Expertise * Experienced Leadership |

Dan Brennan has been delivering software solutions that have shaped the Canadian financial securities marketplace through a career spanning four decades. Combining a depth of capital markets experience and broad technological skill, Dan is at home equally with project leadership and hands-on execution. For the last twenty years, Dan has directed his own consulting firm, providing services for a client list that has included banks, brokerage firms, pension funds, exchanges and service providers. Over that time, he has developed a distinct understanding of the pitfalls and opportunities involved with integrating legacy and emerging technologies, helping his clients achieve successful deliveries in this challenging environment.

***Experience***

*Creating strategies and tactics, introducing new capabilities within legacy business and technology environments, including:*

* **Test and Deployment Strategies —** for participant back offices and trading systems involved with CDS’ Post Trade Modernization system and workflow renovations;
* **System and Business Process Transformations —** to support changes mandated by CSA’s NI 31‑103 (“CRM2”) regulatory initiative, requiring updates across a client’s 12 separate lines of business.

*Delivering ground-breaking capabilities to the Canadian Securities marketplace, including:*

* **Canada-wide Equity Order Management System** — supporting trading, order entry and order and execution management, linking brokerage desks and Canadian exchanges nation-wide;
* **Central Options Clearing Application —** which managed trade reporting and positions for all exchange-traded equity and index options within Canada;
* **MFDA Trading Functionality for ETFs** — providing client’s MFDA advisors the back office and web order entry support to incorporate ETF positions directly in their investment client portfolios.

*Providing Hands-on Tactical Redesign and Delivery, including:*

* **Custom Application Automating and Expediting Trade Reconciliations** — streamlining manual reconciliation among multiple equity exchanges and clients in-house aggregated trade records;
* **Straight-Through Processing Workflow and Systems Conversions** — meeting CSA’s NI 24-101 regulatory deadlines for daily trade matching and settlement.